SQLPOWER™

INSTANT BANKING SUPERVISION SOLUTION

COMPREHENSIVE RISK-BASED SUPERVISION FOR CENTRAL BANKS

RISK

Min

| Pre-built License Applications | 30+ Prudential Returns | Examination & Enforcement Processes | 360-Degree Organization (KYC) View | 80+ Analytics Reports & Dashboards |

SOLUTION OVERVIEW

Introducing SQL Power's revolutionary Instant Banking Supervision Solution, an out-of-the-box, end-to-end supervisory platform that redefines and standardizes critical processes in banking supervision, serving as the blueprint for effective risk-based supervision.

Built exclusively for central banks and battle-tested at the Bank of Namibia, the new Instant Banking Supervision Solution features out-of-the-box and configurable license application processes, streamlined financial data collection returns, risk assessment reports and dashboards, onsite examinations, offsite monitoring, and a dynamic 360-degree KYC view of all regulated institutions within the system.

SOLUTION FEATURES

Fast deployment – fully operational in **less than 90 days**

Flexible implementation – **onsite, SaaS, or hybrid solution**

99.99% uptime – built with robust and scalable architecture

Pre-built license applications – from submission to renewal

Out-of-the-box financial returns – **30+ financial returns**

Examination & enforcement processes – **onsite and offsite supervision**

360-degree organization view (KYC) – complete profiles of all regulation institutions

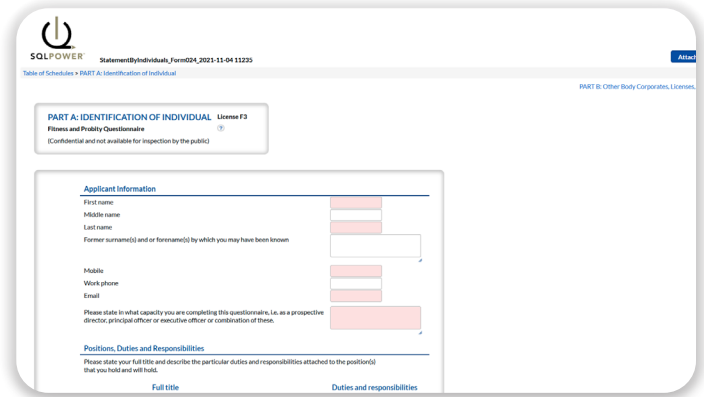Real-time advanced analytics – 8**0+ pre-built reports and dashboards**

info@sqlpower.ca
ray@sqlpower.ca
sam.selim@sqlpower.ca

sqlpowergroup.com

CENTRAL BANKING AWARDS 2024
WINNER RISK MANAGEMENT SERVICES

LICENSE APPLICATIONS

Discover ready-to-deploy license applications designed specifically for Central Banks. Streamline the management of licensing processes, from submission and review to approval and renewal, providing a comprehensive solution tailored to regulatory needs. With SQL Power's Instant Banking Supervision Solution, Central Banks benefit from automated workflows, real-time insights, and robust analytics, delivering a seamless and powerful approach to risk-based supervision.

FINANCIAL DATA COLLECTION

Discover 30+ turnkey financial returns designed specifically for Central Banks. These pre-built returns streamline the collection, validation, and analysis of financial data, ensuring compliance with regulatory standards; offering real-time insights, automated workflows, and robust reporting capabilities, enabling Central Banks to efficiently monitor financial institutions and make data-driven decisions.

For a comprehensive list of all returns, see Appendix A.

360-DEGREE ORGANIZATION (KYC) VIEWS

SQL Power's Instant Banking Supervision Solution offers a comprehensive 360-degree view of each regulated entity. Instantly view and monitor each organization's directors, officers, shareholders, and licenses. Reviewing its latest scorecard, risk ratios, infractions, onsite inspections, and overall risk rating.

ONSITE EXAMINATIONS

SQL Power's Instant Banking Supervision Solution offers central banks a ready-to-deploy, web-based solution to automate and manage every aspect of their onsite inspection processes and functions, providing a consolidated view of all relevant regulatory data within a single risk-based supervision platform. Enhanced with automated workflows, real-time data access, and advanced analytics, empowering Central Banks to conduct efficient, data-driven assessments and maintain rigorous supervision over financial institutions within a single risk-based supervision platform.
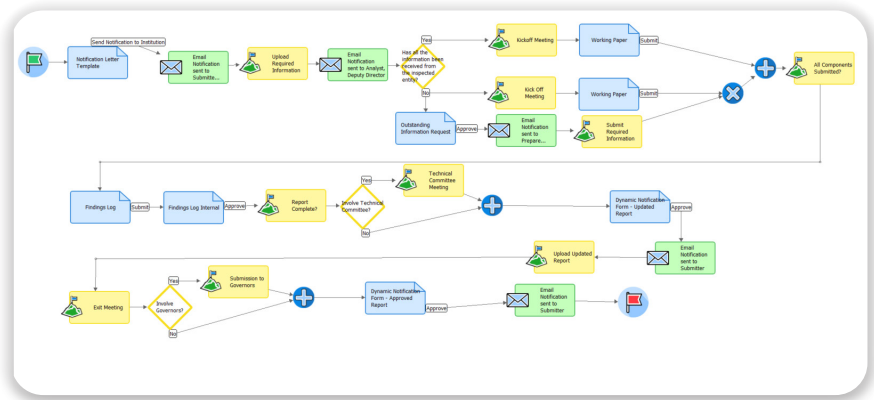
ADVANCED ANALYTICS

SQL Power's Instant Banking Supervision Solution includes over 80 reports and dashboards catering to the specific divisional needs of the jurisdiction. These dashboards provide in-depth analysis and ratios crucial for central bank decision-making, covering everything from Capital Basel II & III Ratios to Daily Liquidity Ratios, ensuring comprehensive insights for effective management decisions.

For a complete list of all reports and dashboards, see Appendix A.
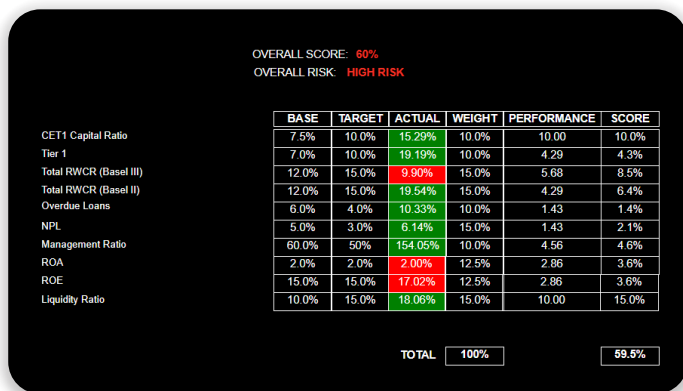
INSTANT RISK-BASED SUPERVISION PLATFORM THAT'S <u>READY TO DEPLOY IN LESS THAN 90 DAYS</u>.

SQL Power's Instant Banking Supervision Solution for Central Banks establishes a new blueprint for effective supervision, enabling financial regulators to navigate the complexities of banking oversight with confidence. Central Banks can expedite deployment with ready-to-use processes, forms, and rules from Day One, or tailor the solution to meet their exact requirements.

Whether implemented on-premise or on the cloud, the solution is web-based, interactive, and user-friendly; it is multilingual, scalable, and adapts seamlessly to evolving Global Financial Standards and customer needs.

ALL THE RISK-BASED SUPERVISION FUNCTIONALITY YOU NEED, OUT-OF-THE-BOX!

The platform, driven by a sophisticated real-time analytics engine, effortlessly integrates with existing systems, bringing together decentralized regulatory operations and optimizing key functions right out of the box, from day one.

Contact us to find out if you qualify and discover how SQL Power's Instant Banking Supervision Solution aligns with your unique needs and how we can simplify and streamline your regulatory environment.

info@sqlpower.ca   ray@sqlpower.ca

www.sqlpowergroup.com   sam.selim@sqlpower.ca

APPENDIX A: COMPREHENSIVE LIST OF APPLICATIONS, RETURNS, REPORTS & DASHBOARDS

LICENSE APPLICATIONS

Initial license applications

License renewals

Fit & proper questionnaire

Change requests

Working papers

Certificate issuance

FINANCIAL RETURNS

Intraday Liquidity Return

Statement of Financial Position (Balance Sheet)

Off Balance Sheet

Income Statement

Info Security Incident Reporting

Minimum Liquid Assets Return

Country Exposures Return

Capital Adequacy Return

Credit Risk Return

Single Borrowers Return

Interbank Exposures Return

Interest Rate Risk Return

Minimum Reserve Requirements

Customer Complaints

Liquidity Risk Return

Other Non-Compliance Return

Quarterly Statistical Return

Compliance Return

Individual Return

NISS Return

Purchase Sales of Foreign Currency

Offshore Prudential Exposure Return

Quarterly Assets and Liabilities Return

360-DEGREE ORGANIZATION (KYC) VIEWS

360-degree view of a bank/organization

Licensing information

Senior officers and directors information

Risk matrix (per division registered)

Onsite examination findings

ONSITE EXAMINATIONS

Business process workflow

Notification letters

Outstanding information requests

Working papers

Findings logs

Dynamic notifications & communication

Comprehensive reports

ADVANCED ANALYTICS

EXCHANGE CONTROL

Active Currency

Total Purchase and Sale

Net Positive Open Positions

Solvency Position

Offshore Investments

Investments Key Drivers

PAYMENT SYSTEMS

Availability of NISS

Liquidity Management

E-Money Stats

Interbank Volumes

Cross Border - RTGS

Payment Systems Fraud Dashboard

Total Fraud

Fraud by Category

Card Fraud Types

EFT Fraud

Cash Fraud

E-Money Fraud

Risk Heat Map

Individual Entity Risk Profile

Payment Systems Risk Dashboard

BANKING SUPERVISION

Banking Financial Soundness Indicators

Deposit Takers - Income & Expense Statement

Deposit Takers - Balance Sheet

Deposit Takers - Memorandum Items

Deposit Takers - Ratios

Banking - Industry Stats

Aggregate Balance Sheet

Aggregate Income Statement

Aggregate Minimum Liquidity Assets

Ratios

Banking Risk Dashboard (CAMEL)

Overview

Capital Adequacy

Asset Quality

Management Capability

Earnings

Liquidity

Credit Market Statistics

Marker Activity by Product

Individuals

Commercials

Credit market activity per sector

Risk Classification

Banking Ratios

20 Tabs for each ratio comparison with industry

Banking Key Metrics

12 Tabs with Grids & Graphs

Banking - Compliance

Banking - Daily Liquidity

Capital Basel II & III Ratios